State of North Carolina - Prequalification Ratings Matrix for Single Prime Contractors

| Prequalification_Form_03_01_11 | | |
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| roject Name | | |
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| | vuiic. | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--------------------|--|-------|------------|------------|------------|------------|------------|--|------------|------------|------------|-------------|------------|------------|--|----|----|----|----|----|----|----|--|----|----|----|----|----|---------------|
| SCO ID # | : | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Contrac | tor Name: | | contractor | contractor | contractor | contractor | contractor | contractor | contractor | contractor | contractor | contractor | contractor | contractor | | | | | | | | | | | | | | | |
| Date of E | valuation: | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. MINIMU | M REQUIREMENTS | | | T | 1 | | | | | | | 1 | | | | | 1 | | | | | | | | | | | | |
| 1.b. | Business Type | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.c. | Type of Work | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.d. | NC License Type | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.d. | NC License # | | | | | | | | | | | | | | | | | | | | | | | | | | | | ⊢ |
| 1.e.(1) | Bonding Letter | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1.e.(2) | Funds Expended by Surety? | | | + | | | | | | | | | | | | | | | | | | | | | | | | | + |
| 1.e.(3) 1.f.(1) | List Surety Companies in past 5 yrs Lawsuits or Arbitrations? | | | + | + | | | | + | | | - | | | | | | | | | | | | | | | | | \vdash |
| 1.f.(1) 1.f.(2) | Judgements, Claims, Arbitrations, Lawsuits? | | | + | 1 | | | | - | | | - | | | | | | | | | | | | | | | | | \vdash |
| 1.f.(2) 1.g. | Evidence of Insurance | | | + | 1 | | | | — | | | | | | | | | | | | | | | | | | | | $\overline{}$ |
| 1.h. | Size/Capacity: Questions 1, 2 & 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4. Signatur | (See end of form) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4.a. | Signed/Dated | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4.b. | Notary Certification | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | (Must meet minimum requirements to proceed to Section 2.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | ⊢ |
| | REQUIREMENTS | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.a.(1) | # years under current name >5 yrs (5) or 0-5 yrs (2) | 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.a.(2) | Date, state, type of establishment | | _ | | | | | | | | | | | | | | | | | | | | | | | | | | \vdash |
| 2.a.(3) | Names of principals | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.a.(4) | Previous public in NC (range 0-5) | 5 | <u> </u> | | | - | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 1 |
| 2.a.(5) | Prequalified and failed to submit bid w/o notice of good cause (No=2, Yes, w/good cause=2, Yes, w/o cause=0) | 2 | . | | | | | | | | | | | | | | | | | | | | | | | | | | 1 |
| 2.b. | NC Office Management (0 or 5) | 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.c.(1-2) | Workload (0 or 1) | 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.d.(1) | QC Admin processes (0 or 5) | 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.d.(2) | Management Plan Process (0 or 4) | 4 | 1 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.e. | Financials - Listed/attached (0 or 4) | 4 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.f.(1) 2.f.(2) | Failed to complete construction contract (0 or 3) | 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.f.(2) | Paid liquidated damages? (0 or 2) | 2 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.f.(3) | Filed claim(s) w/SCO in past 5 yrs (Yes, w/o cause=0, Yes, w/good | | | | | | | | | | | | | | | | | | | | | | | | | | | | 1 |
| | cause=2, No=2) | 2 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2.f.(4) | Convicted of charges (0 or 2) | 2 | | 4 | 1 | | | | | | | | | | | | | | | | | | | | | | | | \vdash |
| 2.f.(5) | Barred from bidding (0 or 2) | 2 | | | 1 | | | - | | | | | | | - | | | | | | | | - | | | | | | ⊢ |
| 2.g. | EMR rate less than/equal 1 (0 or 3) Documented HUB Plan (0 or 2) | 2 | | + | + | | | - | | | | | | | - | | | | | | | | - | | | | | | \vdash |
| 2.h.(1) | Documented HUB Plan (U or 2) | | | + | + | | | | | | | | | | | | | | | | | | | | | | | | \vdash |
| 2.h.(2) | Typical % HUB participation, similar projects listed (0 or 3) | 3 | . [] | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Subtotal | 50 50 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (Must have 50 to proceed to Section 3.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | SPECIFIC REQUIREMENTS | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3.a. | Project-Specific References (0 to 20) | 21 | 0 | 4 | 1 | | | | | | | | | | | | | | | | | | | | | | | | |
| 3.b.(1) | Staff Qualifications (0 to 5) | 5 | | - | 1 | | | | + | | | | | | | | | | | | | | | | | | | | \vdash |
| 3.b.(2) | Project-specific staff experience (0 to 5) | 5 | | + | + | 1 | | - | - | | | | | | - | | | | | | | | - | | | | | | \vdash |
| 3.b.(3) | Staff availability (0 to 5) | - 5 | <u>'</u> | + | + | | | | + | | | | | | | | - | | | | | | | | | | | | - |
| | Specific or other Unique Requirements (expand line items and distribute points consistent with any additions to the prequal | | | | 1 | | | | | | | | | | | | | | | | | | | | | | | | 1 |
| | form. If no specific or unique requirements required, award 15 | | | | 1 | | | | | | | | | | | | | | | | | | | | | | | | 1 |
| 3.c. | points.) | 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Subtotal | 50 50 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | TOTAL POINTS | 10 | 0 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | All scores 80 and above will be prequalified. | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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